

**Annexure –1:**

**Application for Expression of Interest for Availing Assistance under Scheme for ESDM**

**Industry**

**(to be filled in by person / entity applying)**

**1. Basic Information**

1.	Name of the Person Applying	
2.	Contact Address Telephone: Mobile: Email:	
3.	Identity Proof PAN/ Passport/ Bank Statement with picture/ Aadhaar Card (Any one)	

**2. Nature of Entity (Please provide as much information as you can)**

1.	Type of Entity that will seek assistance under the Scheme (Please attach certified copy of the memorandum and articles of association or equivalent registration documents if already done)	Proprietorship/ Partnership/ Company/ Association
2.	Office Address: Office: Factory: Tele No. : Fax No. : Email:	
3.	Registration Details(Enclose Memorandum and Articles of Association)	
	Certificate of Incorporation (please provide certified copy)	
	PAN Number (please provide certified copy)	
	Service Tax & Central Excise Registration Certificate (please provide certified copy)	
4.	Name, address & contact details of the Directors/ Promoters along with the PAN Numbers (Please provide the CA Certificate showing the shareholding pattern)	
	a)	
	b)	
	c)	

5.	Name, designation & contact details of the Authorized Person	
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3. **Assistance will be sought for: (Please tick mark relevant column)**

1.	ESDM unit	
2.	R&D Institution	
3.	Finishing School	
4.	Testing Centre	
5.	ESDM Industry Associations	

4. **Information for unit Type:**

<b>ESDM Unit (New/Expansion)</b>		
1.	Location	
2.	Tentative area (land area)	
3.	Tentative Investment	
4.	Tentative Employment	
<b>R&amp;D Institution / Finishing School / Testing Centre</b>		
1.	Location	
2.	Tentative area of the land where R&D Institute/Incubation centre/Testing facility will be set up	
3.	Tentative Project Cost (in Rupees)	
4.	Brief Description of the project activity proposed to be undertaken in the R&D Institute/Testing facility/Incubation centre	
<b>ESDM Industry Association</b>		
1.	Exhibition/Trade Fair name	
2.	Dates of exhibition/Trade fair	
3.	Expenditure planned by the association	
4.	When and how much similar assistance availed in past under the State Government Schemes	

**5. Assistance Sought under the scheme**

<b>Sr. No.</b>	<b>Assistance type</b>	<b>Unit type entitled to</b>	<b>Claimed entitlement ( Yes / No)</b>	<b>Approximate amount of assistance sought</b>
1.	Capital Subsidy	ESDM units		
2.	Interest subsidy	ESDM units		
3.	Reimbursement of stamp duty and Registration fee	-do-		
4.	Reimbursement of Power tariff subsidy and Electricity duty	-do-		
5.	VAT/CST incentives	-do-		
6.	Employment Generation grant on EPF	-do-		
7.	Reimbursement of expenditure on quality certifications	ESDM MSMEs		
8.	Patent Assistance	-do-		
9.	Market development support	-do-		
10.	Assistance for R&D/ Finishing School/testing facilities	-do-		

**Signature of person making application/ Authorised signatory**

**Annexure – 2a:**

**Format for Letter of In-principle Approval for Assistance to ESDM units under  
the scheme for assistance to ESDM Industry**

Date:

To

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Ref: 1. Your Expression of Interest dated \_\_\_\_\_

Sub: In-principle approval for Assistance under Scheme of Assistance for MSME/Large ESDM units under the Electronics Policy

Sir/ Madam,

1. We are pleased to acknowledge your application dated \_\_\_\_\_ seeking assistance for setting up of an ESDM unit with Registration No. \_\_\_\_\_. In future correspondences please quote the Registration number allotted to you.
2. On behalf of the Government of Gujarat, with reference to your application dated \_\_\_\_\_, I convey the “in-principle” approval/ refusal for assistance under the Scheme of assistance for ESDM Industry for your unit/proposed unit at <<location>> with an estimated Investment of Rs. <<>>.
3. Subject to the adherence to conditions as laid out in para 4 of this letter and subject to approval by the Empowered Committee, the maximum assistance that you can avail under the scheme will be as under:

Sr. No.	Assistance type	Indicative maximum eligible assistance available as per the scheme	Remarks
1.	Capital Subsidy		
2.	Interest subsidy		
3.	Reimbursement of Stamp Duty and Registration Fee		
4.	Reimbursement of Power tariff subsidy and Electricity duty		

5.	VAT/CST/GST Incentive		
6.	Employment Generation grant on EPF		
7.	Quality Certification		
8.	Patent Assistance		
9.	Market development support		
10.	Assistance for R&D/ Finishing School/testing facilities		
11.	Contract/Sponsored research work from ESDM unit/ESDM Industry Association		
12.	Viability Gap funding for exhibitions/ seminars in Gujarat		

4. This in-principle approval is valid for a period of one (1) year from the date of issuance of this letter subject to fulfillment of the following conditions:-
- Procurement and having final possession of the land/ physical space.
  - Financial Closure of the Project – for computing financial closure, the applicant will be allowed to incorporate eligible assistance from Gol, GoG, under respective schemes for assistance to ESDM units, along with own equity and debt that the applicant will take.
  - Preparation and submission of Detailed Project Report (DPR)
  - Component/ activity wise implementation schedule specifying the timelines and corresponding milestones
  - Submission of application as per para 3.6 of the scheme within 1 year.
5. In case, the above conditions are not met by the end of the validity period of this in-principle approval, the validity will be extended for an additional period of maximum one (1) year subject to the fulfillment of the following mandatory conditions, with a satisfactory justification for the delay of the other conditions mentioned in para 4 above.
- Procurement and having final possession of the land.
  - Financial Closure of the Project
6. Application for extending validity of in-principle approval shall be made before expiry of one year from grant of in-principle approval. In case, the validity period is extended, a detailed timeline for fulfilling the following conditions should be intimated to the Competent Authority.
- Preparation and submission of DPR

- (b) Component/ activity wise implementation schedule specifying the timelines and corresponding milestones
  - (c) Submission of formal application as per para 3.6 of the Scheme
7. In an instance where the criteria is not met within the validity period, with or without extension, the in-principle approval shall lapse and the applicant would not have any claim for any incentive / benefit against the Government. However, you can submit a new Expression of Interest which would not be linked to the application referenced herein and will be treated as a new application.
8. You are informed that till the filing of formal application as in Annexure – 3, you can change the applicant. You are also informed that in relation to the indicative maximum assistance indicated in Para 3 of this letter, the numbers are indicative only. The actual eligible amount will depend on scrutiny by the Empowered Committee, the formal application and supporting documents.

Yours Sincerely,

**Annexure – 2b:**

**Format for Letter of In-principle Approval for Assistance to  
ESDM R&D institutions/Finishing School/Testing centres under the  
scheme of assistance for ESDM Industry**

Date:

To

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Ref: 1. Your Expression of Interest dated\_\_\_\_\_

Sub: In-principle approval for setting New ESDM R&D Institutes/Incubation Centres/ Testing Centres under the scheme.

Sir/ Madam,

1. We are pleased to acknowledge your application dated\_\_\_\_\_seeking assistance for setting up of a new R&D Institution/Incubation centre/Testing centre, with Registration No.\_\_\_\_\_. In future correspondences please quote the Registration number allotted to you.
2. On behalf of the Government of Gujarat, with reference to your application dated\_\_\_\_\_, I convey the “in-principle” approval/ refusal for assistance under the Scheme for setting up R&D Institution/ Incubation Centre/Testing Centre at <<location>> with an estimated Investment of Rs.<<>>.
3. Subject to the adherence to conditions as laid out in para 4 of this letter and subject to approval by the Empowered Committee, the maximum assistance that you can avail under the scheme will be as under:

Sr. No.	Assistance type	Indicative maximum eligible assistance available as per the scheme	Remarks
1.	Assistance for R&D/Finishing School/Testing Facilities		
2.	Assistance in contract/sponsored work from ESDM unit/ESDM Industrial Association		

4. This in-principle approval is valid for a period of one (1) year from the date of issuance of this letter subject to fulfillment of the following conditions:-
  - (a) Procurement and having final possession of the land / Office Space

- (b) Financial Closure of the Project – for computing financial closure, the applicant will be allowed to incorporate eligible assistance from Gol, GoG, under respective schemes for assistance to new ESDM R&D Centres/Finishing Schools/Testing centres along with own equity and debt that the applicant will take.
  - (c) Preparation and submission of Detailed Project Report (DPR)
  - (d) Component/ activity wise implementation schedule specifying the timelines and corresponding milestones
  - (e) Submission of application as per para 3.6 of the scheme within 1 year.
5. In case, the above conditions are not met by the end of the validity period of this in-principle approval, the validity will be extended for an additional period of maximum one (1) year subject to the fulfillment of the following mandatory conditions, with a satisfactory justification for the delay of the other conditions mentioned in para 4 above.
- (a) Procurement and having final possession of the land / Office Space
  - (b) Financial Closure of the Project
6. Application for extending validity of in-principle approval shall be made before expiry of one year from grant of in-principle approval. In case, the validity period is extended, a detailed timelines for fulfilling the following conditions should be intimated to the Competent Authority.
- (a) Preparation and submission of DPR
  - (b) Component/ activity wise implementation schedule specifying the timelines and corresponding milestones
  - (c) Submission of formal application as per para 3.6 of the Scheme
7. In an instance where the criteria is not met within the validity period, with or without extension, the in-principle approval shall lapse and the applicant would not have any claim for any incentive / benefit against the Government. However, you can submit a new Expression of Interest which would not be linked to the application referenced herein and will be treated as a new application.
8. You are informed that till the filing of formal application as in Annexure – 3, you can change the applicant. . You are also informed that in relation to the indicative maximum assistance indicated in Para 3 of this letter, the numbers are indicative only. The actual eligible amount will depend on scrutiny by the Empowered Committee, the formal application and supporting documents.

Yours Sincerely,



**Annexure –3a:**

**Application Form for Availing Assistance for ESDM unit  
under the Scheme of Assistance to ESDM Industry**

(to be filled in by the applicant)

**Application Registration No.**

**1. Basic Information**

1	Name of the unit				
2	Contact Address Telephone: Mobile: Email:				
3	Type of the Entity (Please attach certified copy of the memorandum and articles of association or equivalent registration document or Partnership Deed)	Proprietorship/ Partnership/ Company			
4	Registration Details (Enclose Memorandum and Articles of Association)				
	Certificate of Incorporation (please provide certified				
	PAN Number (please provide certified copy)				
	Service Tax & VAT Registration No. (please provide certified copy)				
5	TIN No.				
	Name, address & contact details of the Directors/ Promoters along with the PAN Numbers (Please provide the CA Certificate showing the shareholding pattern)				
	Name	DIN NO	Address	Contact No.	Email
6	Name, designation & contact details of the Authorized Person (please enclose copy of authorisation letter)				

**2. Details of Eligible ESDM Unit**

1	Location Address				
2	Area of the land / Office Space				
3	Proof of Land Possession (Copy of village record and map may please be enclosed)				
4	Brief Description of the project				
5	Please enclose detailed project report covering Sections on Market Survey, Competitive Landscape, Revenue / Expenditure Projections, Cash flows, etc.				

6	Proposed Gross Fixed Capital Investment (excluding land)						
7	Investment in Land						
8	Proposed borrowing for which interest subsidy sought						
9	Name of lending Bank/Financial Institution						
10	Projects of Business Development & Recruitment Schedule						
	Turnover (Rs.)	Year 1	Year2	Year3	Year4	Year5	Year6
	Domestic						
	Exports						
	Employees (nos.)						

### 3. Financials of the Project

	Component	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6
1.	Revenue Forecast						
2.	Expenditure Forecast						
3.	Net Profits						
4.	Cash flows from business operations						

### 4. Means of Financing the Project

Total Project Cost	Promoters Equity Contribution	
	Others' equity contribution	
	Expected GoG Assistance	
	Expected assistance from other Government organisations	
	Debt/Borrowing (Please include Loan Sanction Letter) *	
	<b>Total (Rs.)</b>	

### 5. Assistance Sought under the scheme

Sr. No.	Assistance type	Eligible expenditure / Projected expenditure	Assistance claimed	Remarks
1.	Capital Subsidy			
2.	Interest subsidy*			
3.	Reimbursement of Stamp Duty and Registration Fee			

4.	Reimbursement of Power tariff subsidy and Electricity duty			
5.	VAT/CST/GST Incentive			
6.	Employment Generation grant on EPF			
7.	Quality Certification			
8.	Patent Assistance			
9.	Market development support			
10.	Assistance for R&D/ Finishing School/testing facilities			
11.	Contract/Sponsored research work from ESDM unit/ESDM Industry Association			
12.	Viability Gap funding for exhibitions/ seminars in Gujarat			

\* *Interest subsidy shall be given only if the borrowing is from a bank/financial institution in India, is in Indian Rupee denomination.*

#### 6. Bank Account Details

1.	Name and address of Bank where account exists	
2.	Bank Account Number	
3.	IFSC Code	
4.	RTGS Details	

#### 7. Declaration:

I, on behalf of my organisation, hereby declare the following:

- (a) Information, statements & other papers given herein are to the best of my knowledge & belief, true and correct in all particulars.
- (b) We are not availing any assistance under any other scheme/policy of Government of Gujarat departments or its subordinate offices.
- (c) I am duly authorized to sign an application and details and documents submitted in this application.
- (d) We will hire one intern for every twenty employees on our payroll during the period we avail benefits under the scheme.

Authorised Signatory

**Note:**

1. Any financial transactions / expenditure statements forecast submitted by the applicant must be signed by the authorized signatory of the applicant and be certified by the auditor/ chartered accountant.
2. The DPR should have detailed sections covering at least the following :
  - a) Company profile
  - b) Promoters list
  - c) Shareholding pattern
  - d) Market survey/competitive landscape
  - e) Business development projections
  - f) Product details
  - g) Revenue/Expenditure projections
  - h) Cash flows from Business operations
  - i) Means of financing the project

**Annexure –3b:**

**Application Form for Availing Assistance to**

**ESDM R&D Institute/Finishing School/Testing centres under the Scheme of Assistance to**  
**ESDM Industry**

(to be filled in by the applicant)

**Application Registration No.**

**1. Basic Information**

1	Name of the unit					
2	Contact Address Telephone: Mobile: Email:					
3	Type of the Entity (Please attach certified copy of the memorandum and articles of association or equivalent registration document or Partnership Deed)			Proprietorship/ Partnership/ Company		
4	Registration Details (Enclose Memorandum and Articles of Association)					
	Certificate of Incorporation (please provide certified copy)					
	PAN Number (please provide certified copy)					
	Service Tax & VAT Registration No. (please provide certified copy)					
5	TIN No.					
	Name, address & contact details of the Directors/ Promoters along with the DIN/PAN Numbers (Please provide the CA Certificate showing the shareholding pattern)					
		Name	DIN /PAN No.	Address	Contact No.	Email
6	Name, designation & contact details of the Authorized Person (please enclose copy of authorisation letter)					

**2. Details of Proposed ESDM R&D Institute/Incubation centre/Testing centre**

1	Location Address				
2	Finalised area of the land / Office Space where R&D Institute/ Incubation centre/Testing centre				
3	Proof of Land / Office Space Possession (Relevant revenue records and maps may please be enclosed)				

4	Brief Description of the activities undertaken so far by the applicant since the in-principle approval for setting up the ESDM R&D Institute/Incubation centre/Testing centre	
5	Please enclose detailed project report of the Proposed Project	

### 3. Project Cost

S No	Project Component	Cost
4.		
5.		
6.		

### 4. Means of Financing the Project

Total Project Cost	Promoters Contribution	
	Expected GoG Assistance	
	Expected Assistance from other Government organisations	
	Debt/Borrowing (Please include Loan Sanction Letter)	
	<b>Total (Rs)</b>	

### 5. Assistance Sought under the scheme

Sr. No.	Assistance type	Eligible expenditure / Projected expenditure	Assistance claimed	Remarks
1.	Assistance in R&D/Incubation centres/testing facilities			
2.	Assistance in contract/sponsored work from ESDM unit/ESDM Industrial			

### 6. Bank Account Details

1.	Name and address of bank where account exists	
2.	Bank Account Number	
3.	IFSC Code	
4.	RTGS Details	

**7. Declaration:**

I hereby declare that the information, statements & other papers given herein are to the best of my knowledge & belief, true and correct in all particulars. I also declare that I am not availing any other similar assistance from Government of Gujarat Departments or any of its Organisation.

I also declare that I am duly authorized to sign an application and details and documents submitted in this application.

Authorised Signatory

Note:

1. Any financial transactions / expenditure statements forecast submitted by the applicant must be signed by the authorized signatory of the applicant and be certified by the auditor/ chartered accountant.
2. The DPR should have detailed sections covering at least the following :
  - a) Company profile
  - b) Promoters list
  - c) Shareholding pattern
  - d) Market survey/competitive landscape
  - e) Business development projections
  - f) Product details
  - g) Revenue/Expenditure projections
  - h) Cash flows from Business operations

**Annexure – 4:**

**Format for Recording Minutes of Empowered Committee**

<b>Name of Unit</b>			
<b>Assistance Sought</b>			
<b>Sr. No</b>	<b>Type of Assistance</b>	<b>Approximate Amount of Assistance</b>	<b>Remarks</b>
<b>Descriptive Background (As proposed by Competent Authority for meeting)</b>			
<b>Discussion Part of Minutes, by Empowered Committee</b>			
<b>Approval Granted by Empowered Committee</b>			
<b>Sr. No</b>	<b>Type of Assistance</b>	<b>Maximum eligible amount of Assistance approved</b>	<b>Remarks</b>



**Conditions for Disbursement**

**Signatures of Members of Empowered committee**

**Annexure 5a:**

**Final Approval Letter Format**

Date:

To

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Ref: 1. **Application Registration No.**

Sub: In-principle approval for Assistance under Scheme of Assistance for ESDM Industry

Sir/Madam,

1. This is to convey the approval/ refusal of assistance under the Scheme of assistance for ESDM Industry for your unit/proposed unit at <<location>> with an estimated Investment of Rs. <<>>.
2. You are conveyed approval of financial assistance as per the table below, subject to fulfillment of conditions in para 3 of this letter

<b>Sr. No.</b>	<b>Assistance type</b>	<b>Maximum eligible assistance Amount</b>	<b>Disbursement schedule</b>
1.	Capital Subsidy		On commencement of production as per planned capacity
2.	Interest Subsidy		Quarterly basis on bank certificate for realization of due installments of previous quarter
3.	Reimbursement of Stamp Duty/ Registration Fee		On Submission of Proof of Stamp Duty/Registration fee payment
4.	Power Tariff and Electricity Duty Exemption		Quarterly on Submission of Proof of payment
5.	VAT/CST/GST Incentive		Quarterly on Submission of Proof
6.	Employment Generation Grant on EPF		Quarterly on submission of Proof
7	Quality Certification		On submission of proof
8	Patent Assistance		On filing of Patent
9	Market Development		On submission of claim after the event by ESDM unit or Association

10	Assistance for R&D Institute / Finishing School / Testing Facility		Three Tranches: <ul style="list-style-type: none"> <li>• 25% on acquisition of land, approval of building plan</li> <li>• 50% after expenditure of 60% of the project cost</li> <li>• 25% on commissioning</li> </ul>
11	Contract/Sponsored research work from ESDM unit/ESDM Industry		On submission of proof of completion
12	Viability Gap funding for exhibitions/ seminars in Gujarat		On submission of proof of expenditure by an recognized association

3. Conditions to avail the incentives under this scheme :

- (a) Stamp duty /Registration fees/EPF contribution/Electricity duty shall be reimbursed on a quarterly basis subject to submission of proof on actual basis.
- (b) Interest subsidy/VAT reimbursement shall be reimbursed on quarterly basis subject to submission of proof of payment and subject to the maximum limit specified in the scheme.
- (c) In no case, the amount of reimbursement shall exceed the total inflow of the tax paid into the government treasury. Incentive to multiple units in a single supply chain (downstream or upstream units/ trades) will not be allowed i.e. if a supply has been considered for tax incentives for a unit the same supply should not lead to incentive for an upstream or downstream unit. There would be certain restriction in respect of transfer of goods produced by eligible units out of State by the subsequent dealers so as to ensure that amount reimbursed is not again being claimed by way of tax credit for inter-state sale, branch transfer, consignment and export.
- (d) In the GST regime, the SGST will be reimbursed at the tax rate, which will not be higher than the rate of value added tax of the specified goods as on the date of announcement of the policy. Notwithstanding anything mentioned in above clauses, it is stated that under the GST regime, the unit shall be reimbursed up to the extent of SGST Paid in intrastate sale of the specified goods subject to the tax actual realized into the treasury.
- (e) Assistance for Technology acquisition/Patent registration/Market Development support/Quality certifications shall be given subject to incurring of the expenditure and on production of vouchers, audited statement of accounts, subject to the limits prescribed in the scheme.
- (f) For Industry Associations for participation in International Trade fairs as Gujarat Pavilion outside India a minimum of 5 Industrial units' participation is necessary to get the assistance.
- (g) In accordance with the clause no. 2.12(b) of the policy, you will hire interns at the rate of minimum one intern for every 20 employees on your payroll.

- (h) All assistance is subject to condition that the unit will begin its commercial operations during the operative period of the policy. Failing which, all assistance, including reimbursements, subsidy, etc., shall be recovered along with 12% interest per annum.

I wish you best of luck with the Project. Should you have any difficulty or have queries, Mr. \_\_\_\_\_ will be your personal contact. He can be reached at \_\_\_\_\_.

Yours Sincerely

Date: To

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Ref: 1. **Application Registration No.**


Sub: In-principle approval for assistance to ESDM R&D Institutes/Incubation Centres/ Testing Centres under the scheme.

Sir/ Madam,

1. This is to convey the approval/ refusal of assistance under the Scheme of assistance for ESDM Industry for your proposed ESDM R&D Institute/Finishing School/Testing Centre at <<location>> with an estimated Investment of Rs. <<>>.
2. You are conveyed approval of financial assistance as per the table below, subject to fulfillment of conditions in para 3 of this letter.

Sr. No	Assistance Type	Maximum eligible assistance Amount	Disbursement schedule	Remarks
1.	Assistance for R&D Institute/Finishing School/Testing Centre		Three Tranches: *25% on acquisition of land, office space, approval of building plan *50% after expenditure of 60% of the project cost *25% on commissioning of the R&D Institute	
2.	Contract/Sponsored research work from ESDM unit/ESDM Industry Association		On submission of proof of completion	

3. Conditions to avail the incentives under this scheme :

- (a) Stamp duty /Registration fees/EPF contribution/Electricity duty shall be reimbursed on a quarterly basis subject to submission of proof on actual basis.
- (b) Interest subsidy shall be reimbursed on quarterly basis subject to submission of proof of payment and subject to the maximum limit specified in the scheme.
- (c) Assistance for Technology acquisition/Patent registration/Quality certifications shall be given subject to incurring of such expenditure and on production of vouchers, audited statement of accounts, subject to the limits prescribed in the scheme.

I wish you best of luck with the Project. Should you have any difficulty or have queries Mr. \_\_\_\_\_ will be your personal contact. He can be reached at\_\_\_\_\_.

Yours Sincerely