Government of Gujarat
Department of Science & Technology

Government Resolution No: BTP/072022/446/BT

Sachivalaya, Gandhinagar Dated: 10th August, 2022

Read: 1. GR of DST dated 15th February, 2022, No.: BTP/022022/446/BT

### 1 Preamble

Government of Gujarat had announced 'Gujarat State Biotechnology Policy (2022-27)' (referred hereinafter as the 'Biotechnology Policy' or 'BT Policy' or 'this Policy'), to encourage investments in the Biotechnology sector in the State. The procedures as well as necessary approval mechanisms to operationalize the 'Biotechnology Policy 2022-27' are elaborated in this 'Implementation Guidelines'.

#### 2 Resolution

In view of the Vision, Mission, Objectives, Targets, and incentives outlined under Biotechnology Policy, the Government of Gujarat is pleased to introduce 'Implementation Guidelines for Gujarat State Biotechnology (BT) Policy 2022-27' which will come into force from the date of issuance of this Government Resolution (GR) and will remain in operation till the operative period of the Biotechnology Policy.

## 3 Definitions

Definitions under Gujarat State Biotechnology (BT) Policy 2022-27, Government Resolution No.: BTP/022022/446/BT dated 15 February 2022 shall be applicable.

#### 3.1 New Biotechnology (BT) Unit

Entity which has been incorporated for manufacturing of BT products or delivery of BT services on or after the date of announcement of this Policy, anywhere in Gujarat.

Notwithstanding the definition of Existing BT Unit, a BT Unit which has been incorporated for manufacturing of BT products or delivery of BT services in not more than 12 months prior to the date of announcement of BT Policy 2022-27 anywhere in Gujarat.

An existing BT unit, making a separate investment in an independent identifiable location within the State, not amounting to any relocation of its plants or other assets from anywhere within the State, shall be considered as New Biotechnology (BT) Unit.

An existing unit from outside of the State, making a separate investment in the State, shall also be considered as New Biotechnology (BT) Unit.

#### 3.2 Financial Institution

The financial institutions recognized by the Reserve Bank of India, subject to applicability of revisions/ corrigendum/ amendments as notified from time to time by Reserve Bank of India.

#### 3.3 Term Loan

Term loan means loan sanctioned by the financial institution/ Bank (Except NBFC) for the acquisition of GFCI of the unit. However, only the amount disbursed, not exceeding the GFCI as defined in this Policy, against the sanctioned term loan, will be considered for the incentives under the scheme.

## 3.4 Commercial Operations Date

The first bill of sale by an entity or first date of contract of services and as appended in point 10.3 hereinafter.

#### 3.5 Operational Assistance Period

Operational Assistance Period shall mean a duration of five (5) years period starting from Commercial Operations Date.

#### 3.6 Beneficiary

Beneficiary shall mean an entity that has submitted acceptance on 'Approval for Assistance' including the Conditions in Clause 13 of Implementation Guidelines, to the Competent Authority.

### 3.7 Diversification

Notwithstanding the definition of Expansion/ Diversification, Diversification shall mean an investment made in GFCI for a Biotechnology product/ service other than earlier product(s)/ services of the entity.

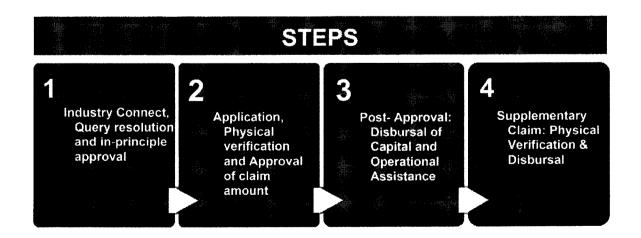
## 3.8 Contract Research Organizations (CROs)

CROs are firms/ vendors that undertake contractual tasks of more precise and/ or focused R&D/ Testing functions for the biotechnology industry.

## 4 Eligibility Criteria/ Conditions

4.1 An applicant shall be considered eligible for availing assistance under this Policy for a period of 5 years from the date of commencement of its commercial operations, provided it complies to the criteria defined vide Para 5.12, Conditions vide Para 9 (point no.3 to7) of BT Policy 2022-27, subject to submission of valid application in

- prescribed format, during the operative period of policy (i.e. on or before 31.03.2027).
- 4.2 Existing Biotechnology Units undertaking Expansion, and/ or Existing Units undertaking diversification shall be considered eligible for incentives under this Policy, subject to fulfilment of the following criteria:
  - 4.2.1 Actual expansion activities shall amount to at least 10% or more in terms of installed or service capacity. Installed or service capacity as of the date of its board approval for undertaking expansion shall be considered as baseline installed or service capacity for establishing percentage of expansion under the project.
  - 4.2.2 Maintain separate Book of Accounts for availing incentives under this policy.
  - 4.2.3 Expenditure incurred must be distinct and in an independent identifiable location within the State, not amounting to any relocation of its plants or other assets from anywhere within the State.
- 4.3 BT Units with date of incorporation or date of commencement of commercial production, (a) falling within the operative period of the policy; (b) falling within 12 months preceding the date of announcement of BT Policy 2022-27, shall be considered eligible for incentives under this policy.
- 4.4 Existing BT Units undertaking Expansion and/or Existing Units undertaking Diversification with date of approval of the Board for undertaking Expansion/ Diversification, (a) falling within the operative period of the policy; (b) falling within 12 months preceding the date of announcement of this Policy, shall be considered eligible for incentives under this policy.
- 4.5 All beneficiaries under this Policy, will also get benefit for one-time expansion during the operative period of this Policy, if they so undertake.



## 5 Industry Connect Platform and Query Resolution

- 5.1 Industry Connect (IC) Platform shall be developed as an online platform to facilitate applicants of the Biotechnology Policy, apart from connecting all stakeholders of Biotechnology sector including Individuals, Companies, and Institutes.
- 5.2 Applicants can seek clarifications regarding their eligibility, etc. apart from filling up information in prescribed format (<u>Annexure 1A</u>) on the online IC Platform.
- 5.3 The Nodal Agency shall clarify, and if the need be, seek additional information/ documents from the applicant; and/ or seek recommendations of the Technical Advisory Committee [TAC].
- 5.4 If the same applicant, having commenced commercial production, wishes to become a beneficiary under BT Policy 2022-27, the applicant may apply in prescribed formats viz. Annexure 2A, 2B, 2C & 2D through online platform, where the already uploaded information on IC Platform shall get auto populated.

## 6 Procedure for request for In-principle approval

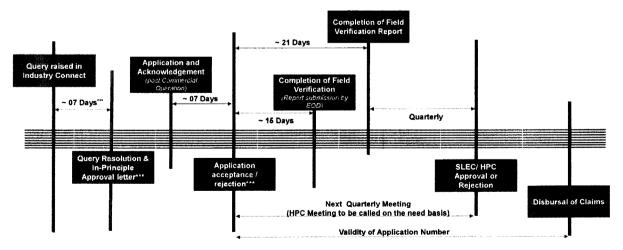
- 6.1 Post successful submission of industry connect module, applicant can submit the request for In-principle approval in the form of Annexure 1B through online portal.
- 6.2 Applicant shall have to submit the requisite supporting documents as mentioned in Annexure 1B or being called upon from the nodal agency, if any, during the course of the scrutiny of their request.
- 6.3 Nodal agency shall target to issue the In-principle approval within seven (7) days from receipt of request from applicant, subject to necessary compliance in all respect.
- 6.4 Nodal agency, if found desirous, may refer any case/s to Technical Advisory Committee [TAC], during the course of scrutiny. In such case, the In-principle approval from Nodal agency shall be subject to recommendation of TAC. In such scenario, timeline for issuance of In-principle approvals shall vary.

# 7 Procedure for Application, issue of Application number, Verification and Approval

- 7.1 Upon successful commercialization, Applicant may submit Application in the format prescribed vide <u>Annexure 2A, 2B, 2C and 2D</u>, including uploading documents.
- 7.2 The Nodal Agency shall scrutinize the application and documents submitted by the applicant, raise queries (that are expected to be resolved by the applicant) and

provide an Application Number upon successful resolution of all queries within 7 days; or may reject the Application. The Application Number shall remain unchanged through-out the application process.

- 7.3 The details of eligible and in-eligible components are annexed herewith in Annexure5.
- 7.4 Application shall include Capital Assistance Claim vide Annexure 2A, 2B and 2D and may raise Operational Claims vide Annexure 2C on periodic basis, upon incurring actual operating expenses for eligible items.
- 7.5 The application shall include details regarding Claim towards Capital Expenses incurred (in 24 months prior to date of Commercialization), as well as the same projected (within 12 months from the date of Commercialization) in the form of Projected Supplementary (Capital) Claim and projected Operational expenditure for entire Operational Assistance Period vide Annexure 2B.
- 7.6 The Nodal Agency shall undertake Physical Verification of Assets through its designated team (within 15 days) and put up the case before the Committee in its ensuing meeting, for its approval. Entity may be called for a presentation before the Committee.
- 7.7 The Committee shall record its decision towards approval of assistance that shall be communicated to the Applicant vide <u>Annexure 3</u> or reject the application citing reasons.
- 7.8 It will be endeavoured to schedule meeting of the Committee leading to decision within 90 days of Reporting of Verification of Physical Assets.



Note: The timeliness are subject to compliances by applicant from time to time

\*\*\* for TAC referred cases (~30 days)

## 8 Submission of Claims, Verification and Disbursal of Claims

- 8.1 Since the entities would have necessarily undertaken commercialization before application and the same would be approved by the Committee; Capital Assistance shall be disbursed in Twenty (20) Quarterly instalments, upon the applicant complying with periodic submissions (of Progress Reports, Certificates, etc. as prescribed) mandated.
- 8.2 The Entity may apply only once, towards the Supplementary Capital Claim (Capital Expenses borne within 12 months from Commercial Operations Date) in a format prescribed (Annexure 4).
- 8.3 The total amount claimed under Supplementary Capital Claim shall not exceed 10% of the amount approved towards Capital Claim.
- 8.4 The nodal agency shall undertake scrutiny of claims including physical verification of the assets, claimed towards the Supplementary Capital Claim; and may approve the disbursement of the claims in the remaining quarterly instalments; if the findings are in concurrence to the approvals accorded.
- 8.5 The Operational Assistance and Other Assistances shall be considered for a period of 5 Years from Commercial Operations Date and the Beneficiary may claim Operational and/ or Other Assistances on quarterly, half-yearly or annual basis.
- 8.6 Bills/ payment receipts spread across fiscal quarter/ fiscal half year/ fiscal year shall be submitted in the respective application.
- 8.7 Only amount paid by the beneficiary directly to EPFO and in compliance with EPFO Notification No. C-I/011(16)2020-21/ABRY/1179 and applicable amendments thereon, shall be considered eligible for EPF Assistance.

#### 9 Schedule of Disbursement

	Assistance Type	Disbursement Schedule
1	Capital Assistance- Application	On approval of Application by the Committee Twenty(20) Quarterly instalments starting with subsequent Quarter of the financial year spread over operational assistance period, subject to fulfilment of applicable terms and conditions
2	Capital Assistance – Supplementary Capital Claim	Total eligible assistance under Supplementary Capital Claim shall be spread across all remaining quarterly instalments of the Capital Assistance approved under the Application
3	Operational Assistance	On approval of Application by the Committee

4	4 Employment Generation Incentive	Beneficiary shall choose any one option amongst quarterly or half-yearly or annual Operational
	Employee Provident Fund (EPF) Assistance	Assistance Claim, which shall be applicable for the duration of 5 years from Commercial Operations
6	Interest Subsidy on Term Loan	Date.
-	7 Electricity Duty	

## 10 General Instructions for Claim of Incentives under this Policy

- 10.1 The incentives under Biotechnology Policy 2022-27 shall be admitted on reimbursement basis and hence, shall need documentary evidence towards payment/ transactions in the name of the Entity and have a valid GSTIN.
- 10.2 Designated/ Authorized representative who has signed the application shall represent the entity in all matters related to application, communications, clarifications, claims, etc. with the Nodal Agency. Designated/ Authorized representative may also appoint an individual for liaison with the Nodal Agency through written communication by submitting Power of Attorney (POA). In case of any change in the authorized representative, the entity needs to notify the department by submitting the revised POA.
- 10.3 Date of first invoice or date of commencement of services as per the agreement executed between Entity & Client/ Customer/ Business, shall be considered as the Commercial Operations Date.
- 10.4 Cost considered under eligible capital/ operational/ other expenditure shall include all applicable taxes paid/ incurred.
- 10.5 Department may withhold disbursements due to non-availability of funds/ budget or any other unforeseen circumstances for any given period. In such event, department may disburse cumulative amount from such withheld instalment(s) with the subsequent instalment(s).

## 11 Committee for Approvals

Special Projects, Mega Projects, and Ecosystem Strengthening Projects shall be approved by a High-Powered Committee [HPC] chaired by the Chief Secretary. Rest other projects shall be approved by the State-Level Empowered Committee [SLEC], chaired by ACS/ PS/ Secretary, Dept. of Science & Technology. State-Level Empowered Committee [SLEC] shall be convened tentatively, once every quarter and High-Powered Committee [HPC] shall be convened on need basis or as decided by the Chairperson.

## 12 Competent Authority

12.1 For undertaking procedures & processes for grant of approval and disbursement of assistance, the Mission Director, GSBTM will be the Competent Authority and will act emulating single window clearance mechanism for the benefits under this Scheme.

#### 13 Conditions

- 13.1 Any applicant will have the option of availing of incentives either under this Biotechnology Policy 2022-2027 or the Industrial Policy 2020 of Government of Gujarat. However, applicant will be entitled to any or all eligible incentives under any one Policy of the State Government.
- 13.2 The applicants who wish to avail benefits under BT Policy 2022-27 shall submit their application as per <u>Annexure 2A, 2B, 2C & 2D</u> within the operative period of Policy (on or before 31<sup>st</sup> March 2027) in order to be eligible for availing assistance.

## 14 Interpretation

Any interpretation or clarification under the scheme will be decided by the Department of Science and Technology and decision thereof would be final and binding to all.

#### 15 Power to amend the scheme document

Notwithstanding anything contained in the foregoing paras, the Government holds right to review and amend various aspects of the scheme document from time to time.

## 16 Budgetary Provision

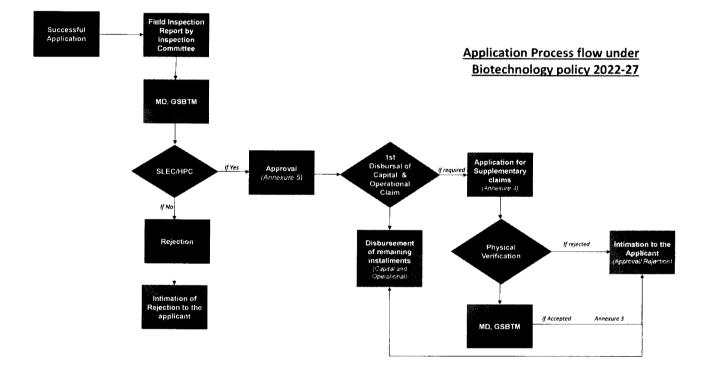
The expenditure on this account shall be made from the grants under the following budget head: "Major Head: 3425 – Sub Major Head: 60 – Minor Head: 004 – Sub Head: 01 – Item No (8) Biotechnology Policy Incentive".

This order is issued with the concurrence of Finance Department vide its note on this department's file of even number.

By order and in the name of the Governor of Gujarat.

(Vijay Néhra)

Secretary to the Government of Gujarat, Department of Science and Technology



## **List of Annexures**

Sr. No.	Title
Annexure 1A	Industry Connect Module
Annexure 1B	Request for In principle approval
Annexure 2A	Format for submitting Application for availing assistance under BT Policy 2022-27
Annexure 2B	Format for Capital Assistance, Projected Operational and Projected Supplementary Claim
Annexure 2C	Format for Operational Assistance Claim Application
Annexure 2D	Undertaking and Checklist for documents to be submitted as certified true copy as part of Application for availing assistance under BT Policy 2022-27
Annexure 3	Format for Approval for Assistance
Annexure 4	Format for Final Supplementary Capital Assistance Claim Application
Annexure 5	Details of Eligible and Ineligible components

For viewing and submission of the Annexures, please log on to <a href="https://btm.gujarat.gov.in">https://btm.gujarat.gov.in</a>

\* \* \* \* \* \*

## **Annexure 1A: Industry Connect Module**

## **Industry Connect: Individual**

eral Information											
Name Prefix First Middle Last											
Education Level											
□ Graduate □ Postgraduate □ PhD □ post-Do	ctoral 🗆 Oth	er, please s	pecify								
Sub-Sector(s)											
_			es & IT								
Mobile Number <i>(Validate)</i>											
E-mail ID <i>(Validate)</i>											
Organization (Optional)											
Designation (Optional)											
Within India											
Current Residence (City/ Town/ Village, Pin, Taluka, District, State – To be selected from a dropdown list)											
Outside India											
Current Residence City State Country											
	Name  Education Level  Graduate Postgraduate PhD post-Do Sub-Sector(s)  Bio-Industrial Bio-Agricultural Bio-Phar Environment Biotech Other, please specif Mobile Number (Validate)  E-mail ID (Validate)  Organization (Optional)  Designation (Optional)  Within India  Current Residence  Outside India	Name	Name	Name							

Note: Automatic Login User ID Creation with necessary security protocols

## **Industry Connect: Organization**

1.	Details of the Company/ Organizat	ion/ Institu	ute						
1.1	Entity Name (As per the certificate of incorporation OR other relevant proof provided)								
1.2	Type of Legal/ Corporate Entity	<ul> <li>□ Private Limited</li> <li>□ Public Limited</li> <li>□ Limited Liability Partnership</li> <li>□ Other, please specify</li> </ul>							
1.3	Registered Address (As per the certificate of incorporation)	Locality) (City/ Tou	vn/ Village, F	nber, Building/ i Pin, Taluka, Dist ropdown list)					
1.4	Website								
2	Details of Representative(ies) of th	e Entity							
2.1	Designated Representative of the Entity	/							
•	Name	Prefix	First	Middle	Last				
	Designation								
	Tenure From: To:								
	Mobile / Telephone (Validate)								
	ID proof: Aadhar Card (Validate)								

	Official E-mail ID (Validate)								
2.2	Authorized Representative of the	Entity							
	Name	Prefix	First	Middle	Last				
	Designation				•				
	Duration	From:		To:	•				
	Mobile / Telephone (Validate)								
	ID proof: Aadhar Card (Validate)								
	Official E-mail ID (Validate)				,				
3.	Turnover:								
4.	Employment Details:								

5	Project Details											
	R&D	Mfg.	For.	Ser.	Tra.	Category	B2B	B2G	B2C			
						☐ Bioplastics		[]				
stria						□ Biofuels		[]				
ngu						☐ Biopolymers						
Bio-Industrial						☐ Fermentation						
Ш						□ Other, pls specify						
						☐ Vaccine Production		[]				
ical						☐ Stem Cell Culture						
ceut						☐ Formulations						
rma						□ Biosimilar		<u> </u>				
Bio-Pharmaceutical						□ Biologics		[]				
						☐ Med Devices						
						□ Other, pls specify						
						☐ Bio-pesticides						
ρ						☐ Bio-fertilizer						
Bio-Agriculture						☐ Plant Tissue Culture						
gric						☐ Mushroom Culture						
io-A						☐ Hybrid Seeds						
80						☐ GM crops						
						□ Other, pls specify						
ď						☐ Bioinformatics						
Bio-	×					☐ Precision Medicines						
Bio- Services						☐ Gene Splicing						
U						☐ Molecular Breeding -Animals						

5	Proje	Project Details										
	R&D	Mfg.	For.	Ser.	Tra.	Category	B2B	B2G	B2C			
						☐ Clinical Research						
						□ Big data						
						☐ Stem Cell Storage						
						☐ Antimicrobial Resistance						
						□ Other, pls specify						
						☐ Bio-insecticides						
يا						☐ Bio-compost						
Bio-Environment						☐ Waste Management						
viro						☐ Bio Sequestration						
0-Er						☐ Climate Change						
ΞĞ						□ Clean Technology						
						☐ Other, pls specify						
و						□ BSL 3 Lab & BSL 4 Lab						
ctur						□ Clinical Trial						
Infrastructure						□ ETP/ ZLD						
nfra						☐ Plug & Play Facility						
		×				☐ Other, pls specify						
6.	Any	/ Query										
7	If you wish to avail incentives under BT Policy 2022-27 (If Yes, then access to Enrolment Application) (If No, then applicant can submit the Industry Connect module) Yes  No											
	For Query For In-principle approval For Application (Click here) (Click here)											

## Annexure 1B: Request for In-principle Approval

## **Details of Entity**

1.	Details of the Company/ Organizat	ion/ Institu	ite					
1.1	Entity Name (As per the certificate of incorporation Of other relevant proof provided)	र						
1.2	Type of Legal/ Corporate Entity	1		ership				
1.3	Registered Address (As per the certificate of incorporation)  (Survey/ Plot/ Door Number, Building/ Block, Locality) (City/ Town/ Village, Pin, Taluka, District, STo be selected from a dropdown list)							
1.4	Website							
2	Details of Representative(ies) of the	ne Entity						
2.1	Designated Representative of the Entit	ТУ						
	Name	Prefix	First	Middle	L	.ast		
	Designation							
	–	□ Appointme □ Others, pls	ent letter 🗆 Bo s specify	ard Resolutio	n			
	Tenure	From:		To:				
	Mobile / Telephone (Validate)							
	ID proof: Aadhar Card (Validate)				,			
	Official E-mail ID <i>(Validate)</i>							
2.2	Authorized Representative of the Entit	У			<b></b>	·		
	Name	Prefix	First	Middle		.ast		
	Designation							
	Supporting Document □ Power of Attorney □ Other, specify □							
	Duration	From:		То:				
	Mobile / Telephone (Validate)							
	ID proof: Aadhar Card (Validate)							
	Official E-mail ID <i>(Validate)</i>							

3	Broad (	Classifi	cation						
	R&D	Mfg.	For.	Ser.	Tra.	Category	B2B	B2G	B2C
						☐ Vaccines including recombinant vaccines for use in humans or animals			
						☐ Recombinant gene therapy products including nucleic acids, proteins, and combinations thereof, viruses, or genetically engineered microorganisms			
						☐ Molecular and Genetic Diagnostics (providing Business to Business services)			
						☐ Biochips, Biosensors and Biomedical Devices based on biotechnology			
						☐ Stem Cell and Cell-Culture			
						□ RNA Interference (RNAi)			
						☐ Products of Synthetic Biology			
						☐ Probiotics and other Biotechnology- based nutraceuticals			
						☐ Bio-fertilizer, Bio-pesticides, and similar products			
trial	. 🗆					☐ Bioplastics			
3io-Industrial						□ Biofuels			
io-Ir						□ Nano-Biotechnology			
8		-				☐ Any product/ technology deemed eligible by the Technical Advisory Committee (TAC)			
						'Biotechnology (BT) Product' which uses or is derived by using  ☐ (a) Living systems, ☐ (b) Enzymes, ☐ (c) Proteins ☐ (d) Biocatalysts ☐ (e) Biomolecules and any of the following processes: ☐ Genetic Engineering, ☐ Gene Editing ☐ Protein engineering, ☐ Tissue Engineering ☐ Genomics, ☐ Proteomics, ☐ Proteomics, ☐ Proteomics, ☐ Hotelomics ☐ Wetabolomics ☐ Nano-Biotechnology based process ☐ Bio-process Engineering,			

3	Broad (	Classifi	cation						
	R&D	Mfg.	For.	Ser.	Tra.	Category	B2B	B2G	B2C
						☐ Fermentation ☐ Enzymatic processes, ☐ Biotransformation ☐ Tissue Culture ☐ Molecular Breeding ☐ Contract Research by CROs using Biotechnology process or products (providing Business to Business services) ☐ Any process deemed eligible by the Technical Advisory Committee (TAC)			
						Gene Splicing		[]	
						Stem Cell Therapy		[]	
						Antimicrobial Resistance (AMR)		[]	
						Molecular Breeding of Animals		[]	
						Clinal Testing including Animal Testing Facilities		()	
						Genome Sequencing		[]	
						BSL-3 Labs		[]	
						Plug and Play Facilities		[]	
						Accreditation, Testing & Certification Laboratories		ı	
						□ Other, pls specify		נו	
		-		Det	ails of	Entity Applying for Assistance			
1	Project	Type:							
	☐ New ☐ Mega ☐ Ecosy ☐ Speci ☐ Reloc ☐ Reloc ☐ Diver ☐ Expa	vstem S al Proje ation (F ation (F sification	trength ct rom ou rom ou	ıtside S	tate)	)			
2	Expend	liture i	ncurre	d towa	rds GI	FCI/ Estimated Capital Claim			
	Parame	eters				Estimated Cost (INR in La	cs)		
-	Registra	ation an	d Stam	p Duty					
2.2	Plant ar	nd Mach	inery						
2.3	ļ								
2.5	Technol	ogy Up	gradatio	on & Ac	quisitio	on			
2.6	Utilities								
						Sub-total			

3	Mandatory documents
	☐ Incorporation certificate and PAN card of applicant entity ☐ Project brief on company letter head (pls explain in detail the process adopted by applicant) ☐ copy of Promoter's PAN and Aadhar card
×	I/ We hereby confirm that the contents mentioned above are true to the best of my/ our knowledge. I/We am/are responsible for any information found incorrect or misrepresented subsequently and liable for auto rejection of in principle approval.
	If you wish to avail incentives under BT Policy 2022-27 (If yes, then access to Application) (If no, then applicant can submit this request) Yes □ No □
	Submit For Application (Click here)

## Annexure 2A – Format for submitting Application for availing assistance under BT Policy 2022-27

1.	Details of the Comp	any/ Organizat	ion/ Institu	ite							
1.1	Entity Name (As per the certificate of other relevant proof prov		?								
1.2	Type of Legal/ Corpor	ate Entity			rship						
1.3	Registered Address (As per the certificate of	incorporation)	Locality) (City/ Tow	ot/ Door Numb n/ Village, Pir ted from a dro	n, Taluka, Dis						
1.4	Website										
2	Details of Represent	tative(ies) of th	ne Entity								
2.1	Designated Represent	ative of the Entit	У								
	Name		Prefix	First	Middle	Last					
	Designation										
	Supporting Document		□ Appointme □ Others, pls		□ Board Resolution						
	Tenure		From:		To:						
	Mobile / Telephone (V	alidate)									
	ID proof: Aadhar Card	ID proof: Aadhar Card (Validate)									
	Official E-mail ID (Vali	idate)									
2.2	Authorized Representa	ative of the Entity	У								
	Name		Prefix	First	Middle	Last					
	Designation										
	Supporting Document		□ Power of A	ttorney 🗆 Oth	er, specify						
	Duration		From:		To:						
	Mobile / Telephone (V	alidate)									
	ID proof: Aadhar Card	(Validate)				·					
	Official E-mail ID (Vali	idate)			10 2010	-					
3	Other details of app	licant				··· · · · · · · · · · · · · · · · · ·					
3.1	Registration with Cent	tral/ State Gover	nment								
	Туре	Enrolm	ent Number		Date of Enro	olment					
	Udyam										
	IEM Part B										
	Industrial License										
3.2	Central Board of Direc	t Taxes (CBDT),	Department	of Revenue, M	linistry of Fina	nce, GoI					
	Central Board of Direct Taxes (CBDT), Department of Revenue, Ministry of Finance, GoI  Goods and Services Tax Identification Number (GSTIN)										

	Perm	anent A	Account	Numbe	er (PAN							
	Tax [	Deduction	on and	Collecti	on Acc	ount Number (TAN)						
	Тахр	ayer Id	entifica	tion Nu	mber (	TIN)						
3.3	Empl	oyees'	Provide	nt Func	l Organ	vization			•			
	Estab	olishme	nt ID									
	Unive (UAN	ersal A	ccount	Numb	er				w			
	Comp	pany W	ebsite/	URL								
3.4	Bank	Accour	nt Detai	ls (For	Disbur	sement)						
	Name	e of Bar	nk:									
	Addre	ess of B	lank:									
	IFSC	Code o	f Bank:									
	Bank	Accour	nt Numb	oer:								
3.5	NIC (	Classific	ation: (	Drop D	own)							
3.5.1	Proje	ct Type	:									
		$\square$ New $\square$ Mega Project $\square$ Special Project $\square$ Ecosystem Strengthening Project $\square$ Relocation (From outside State) $\square$ Relocation (From outside Country) $\square$ Diversification $\square$ Expansion										
3.5.2	Broad	Classi	fication			,	·		-			
	R&D	Mfg.	For.	Ser.	Tra.	Category	B2B	B2G	B2C			
						☐ Vaccines including recombinant vaccines for use in humans or animals						
						☐ Recombinant gene therapy products including nucleic acids, proteins, and combinations thereof, viruses, or genetically engineered microorganisms						
						☐ Molecular and Genetic Diagnostics (providing Business to Business services)						
Bio-Industrial						☐ Biochips, Biosensors and Biomedical Devices based on biotechnology						
Ind						☐ Stem Cell and Cell-Culture						
Bio-						☐ RNA Interference (RNAi)						
						☐ Products of Synthetic Biology						
						☐ Probiotics and other Biotechnology- based nutraceuticals						
						☐ Bio-fertilizer, Bio-pesticides, and similar products						
						☐ Bioplastics						
						☐ Biofuels						
						☐ Nano-Biotechnology						

						☐ Any product/ technology deemed eligible by the Technical Advisory Committee (TAC)		
						'Biotechnology (BT) Product' which uses or is derived by using  □ (a) Living systems, □ (b) Enzymes, □ (c) Proteins □ (d) Biocatalysts □ (e) Biomolecules and any of the following processes: □ Genetic Engineering, □ Protein engineering, □ Protein engineering □ Genomics, □ Proteomics, □ Proteomics, □ Synthetic Biology, □ Pharmacogenomics, □ Metabolomics □ Nano-Biotechnology based process □ Bio-process Engineering, □ Fermentation □ Enzymatic processes, □ Biotransformation □ Tissue Culture □ Molecular Breeding □ Contract Research by CROs using Biotechnology process or products (providing Business to Business service) □ Any process deemed eligible by the Technical Advisory Committee (TAC)		
						Gene Splicing	J	
						Stem Cell Therapy		
						Antimicrobial Resistance (AMR)	⊐	
						Molecular Breeding of Animals		
						Clinal Testing including Animal Testing Facilities		
						Genome Sequencing		
						BSL-3 Labs		
						Plug and Play Facilities		
						Accreditation, Testing & Certification Laboratories	ם	
						□ Other, pls specify	ij	
3.5.3	Inves	tment F	Range:					
	□ <:	200 Cro	re 🗆	>200 C	rore			
3.5.4	Emplo	yment	Range:		- Windows		 	

									_		
	□ </td <td>500 🗆</td> <td>&gt;50</td> <td>00</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	500 🗆	>50	00							
3.6	Qual	ity Certific	atio	n							
3.6.	State	utory Appr	ova	l Obtained	<u> </u>						
		Name		Descript	tion	Da	te of Issue	e Vali	d Up	to date:	Cost
						ļ					
/						<u> </u>					
3.6.2		te rows fo Statutory					red)	·			
3.0.2	2 NOTI-	Name	Арр	Descript		1	ite of Issue	yali	d Un	to date:	Cost
		Name		Descript			110 01 13500	Vali	и ор	to date.	Cost
			+								
(Add	separa	te rows fo	r ea	ch approv	al, as	requi	red)				
	T										
4.		t Details					T				
4.1 4.2	<del></del>	ercial Ope			·						
4.2	Produc	roduction Capacity of the Entity  Full time installed Proposed Proposed Proposed									
	NIC 2008 Code	Name Produc		Description of the Product	l	Jnits	capacity (for Ex	installe per ann pansion ification	um   /	installed capacity per annum	During Enrolment Process (Same/ Modified/ New)
1											
2											
3								•			
	rows if i		atta	ach suppo	rting	docur	nents sum	marizing	j key	aspects o	f the same)
4.3	Service	e Capacity	of t	he Entity							
	NIC 2008 Code	Name of Service		scription of the Service	Unit	ca	Existing de pacity per (for Expan Diversifica	annum sion/	cal	roposed delivery pacity per annum	During Enrolment Process (Same/ Modified/ New)
1								,			
2											
3						+				<u>-</u>	
	rows if	required 9.	2++-	ach suppo	rtina	docus	nente cum	marizino	المار	aspects of	f the same)
	pplicabl			асп зарро	rung	docui	nenta sulli	11101121119	, KCY	изреста О	i the same)

Page **21** of **45** 

5	Employee [	Details					
	Type/ Category	Number of Employees	Type/ Category	Number of Employees	LIVING// STAGAMI	Number of Employees	Total Number of Employees
1	Skilled Workers		Semi-Skilled Workers		Unskilled Workers		
2	Payroll		Contractual		Outsourced		
3	State Domicile		Indian/ Other state		NRI		
4			Full Time Employee/ Main Workers		Part Time Employee/ Marginal Workers		
5			Male	2	Female		

#### **Declaration:**

I/We hereby declare that I/ We have read the Government Resolutions BTP/022022/446/BT dated 15/02/2022 & BTP/\_\_2021/\_ \_ \_/BT dated \_ \_/\_\_/2022 as amended from time to time before submission of this application for incentive. I/ We undertake to comply all the conditions stipulated therein to avail incentives mentioned in the resolution.

I/We hereby declare that the details given above are true and correct, if any of the information is found to be incorrect and not fulfilling the provisions laid down in the Government Resolution, incentives are liable to be cancelled.

Place:		
Date:		
	Company Seal	Applicant's signature
		Name:
		Designation:

## Annexure 2B Format for Capital Assistance, Projected Operational and Projected Supplementary Claim

## A. Format for Capital Assistance Application

Γ.												
1			Details o	f Entit	у А	pplying for A	ssis	tance				
1.1	Name of the	Entity:										
1.2	Project Type:											<u>-</u>
	1 -	•	•		_	ening Project [ untry) 🗆 Diver	•		_			on (From
2	Expenditure Supplement			s GF(	CI/	Estimated	Ca	pital	Exp	endit	ure	towards
2.1	Registration a	nd Stamp	Duty									
Sr. No.	Description of the Asset/ Property	Use of the Asset/ Property	Possessio n Type (Outright Purchase/ Lease)	Tota area (sq. m		Duration of lease (in years)	re ar	ost/ lea ental (p nnum) Asset/ Property	er of		stratio Cost	Stamp Duty Cost
1												
2		,										
(In c	ase of more th	an one pro	perty or ass	et or p	oss	ession type, a	dd se	eparate	row	ıs for	each i	tem)
`			· · ·	•				Sub-t				1
2.2	Plant and Mad	hinery								ı		<u> </u>
Sr. No.	Name of the Plant & Machinery	Descript n	Typ (New Indigen Second Indigen New Impo	w ous/ Hand ous/ orted/ Hand		riginal Equipm anufacturer (O		Year Manuf ring	actu	Mac	e of hinery span)	Cost (includii g taxes duty, etc., excludir g Royalty
1							İ					
2												
(Add	separate rows	for each it	em, as requ	uired)	<u> </u>	•	I					<u> </u>
										Sul	o-total	
2.3	Building					,						1
Sr. No.	Item		Description	Uni	t	Quantity		Unit	rate		taxe	(includinges, duty, etc.)
1	Civil Works/ Structural											
2	Interiors/Finis	hing										
3	Conveyance li	fts										
4	HVAC		**									
5	Fire protection	n				,						
6	Electrical											

7	Plumbing										
(Add	separate rows	s for addition	al items, a	s required)	<u></u>						
						······	Sub-total				
2.5	Technology L	Jpgradation 8	& Acquisitio	on .				L			
Sr. No.	Name of the Technology	Llaccrintion		Country of origin	Availability the India (Yes/ No	ı   t	/ailability in he Gujarat (Yes/ No)	Cost (including taxes, duty, etc., but excluding Royalty)			
1											
2					- 11111						
(Add	separate rows	s for addition	ial items, a	s required)							
	Sub-total Sub-total										
2.6	Utilities										
Sr. No.	Na	me of Utilitie	s	Description	Capacity	/ Un	it duty excludin	cluding taxes, ,, etc., but g consultation/ ional charges)			
1	Solid Waste I	Management	Facility								
2	Gas Conne infrastructure		related								
3	Substation							,			
4	Solar Unit/ Any other Captive Power Generation unit										
5	Effluent Trea	tment Plant									
6	Zero Liquid D	ischarge									
	Sub-total Sub-total										

3	Summary of the Expenditure incurred towards GFCI	Sub-Total from Section 2
	Registration and Stamp Duty	
	Plant and Machinery	
	Building	
	Technology Upgradation & Acquisition	
	Utilities	
	Total	

## **B. Format for Projected Operational Assistance Claim Application**

Operational Assist approval)	ance Peri	iod (as	per Cor	nmittee	From			То		
Operational Assist Cycle	ance Clai	m 🗆 Qua	arterly	ΠН	alf-year	·ly	□ Ar	nnual		
#	Quarterly				Half-ye	arly		An	nual	
Year 1	□ Q1 □ Q	2 🗆 Q3 🗆	Q4		□ H1	□ H2				
Year 2	□ Q1 □ Q	2 🗆 Q3 🗆	Q4		□ H1	□ H2			,	
Year 3	□ Q1 □ Ç	2 🗆 Q3 🗆	Q4		□ H1	□ H2				
Year 4	□ Q1 □ Q	2 🗆 Q3 🗆	Q4		□ H1 I	□ H2				
Year 5	□ Q1 □ Q	2 🗆 Q3 🗆	Q4		□ H1	□ H2				
Power Tariff					1					
Meter/ Connection	Connection Period Total Unit Bill Bill Number Proof of									
Number	From	То	Const	ımed	Amou	nt			Transaction	
D-AA				.						
			<b></b>							
patent	t the Autr	iority issu	ing the			., .				
Description										
Title of the Patent										
	ewal of the	Patent								
Patent Validity Till										
Fees paid to patent centre	t attorney a	and patent	service				<u>-</u>	-		
Legal Charges										
<del> </del>		for next ea	ach pate	nt)						
Market Developn	nent									
Name of the Event								·····		
Type (National/ In	ternational	)							····	
	nizer									
<u> </u>										
Cost incurred for P	roduct Lite	rature/ Ca	atalogue							
Cost incurred for D	isplay Mat	erial								
(Repeat row from	4.1 to 4.7	for next ea	ach even	t)						
	approval) Operational Assist Cycle # Year 1 Year 2 Year 3 Year 4 Year 5 Power Tariff	approval)  Operational Assistance Clair Cycle  #	approval)  Operational Assistance Claim	approval)  Operational Assistance Claim	approval)  Operational Assistance Claim	Operational Assistance Claim	Assistance   Claim   Quarterly   Half-yearly   Year 1   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 2   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 3   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 4   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 5   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 5   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 5   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 5   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 5   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 5   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 5   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 5   Q1   Q2   Q3   Q4   Q4   H1   H2   Year 5   Period   Total Unit Consumed   Total Unit Consumed	Approval   Assistance   Claim   Quarterly   Half-yearly   Arc	Operational Assistance Claim  Operational Assistance Claim  Year 1  Quarterly  Half-yearly  Annual  Annual  Year 1  Quarterly  Half-yearly  Annual  Bill	

5	Lease Renta	l									
	Description o Asset/ Propo			he Asset/ perty	Total a	area n.)	(sq.	leas	ion of e (in ars)	annu	e rental (per m) of Asset/ Property
									ib Total	<u> </u>	
	(In case of mo			perty or a	sset, ad	ld s	eparat	e rows	for each	n item)	
6	Bandwidth L	easing	3							Т	<del></del>
6.1	Billing Period Bill Amount					FIC	m:			То:	
7	Quality Certi	ficatio	ne (Non	-Statuton	v Annr		le)				
7.1	Certificate issu			- Statutor	y Appi	- Va	13)			·	
7.2	Type of Certifi			// Internati	onal)						
7.3	Date of issue			,		ļ					
7.4	Validity										, , , , , , , , , , , , , , , , , , , ,
7.5	Certification F	ees									
	(In case of mo	ore tha	n one qua	ality certific	cations	add	separ	ate rov	s for ea	ach item	)
8	Employment Generation										
	Employee Name	Gend	der (M/F)	Employe numb		Da	ite of I	loining	i	(per um)	Eligible Incentive under EGI
(Add s	eparate rows fo	or each	employe	e, as requi	ired)				<u> </u>	l	
								Total			
9	Employee Pr	ovide	nt Fund (	(One-time	annua	al c	laim)				
	Employee Name	Gende	er (M/F)	UAN number	Durati in Mont			paid by oyer (E			nt Eligible EPF ncentive
(Add s	eparate rows fo	or each	employe	e, as requi							
					To	tal					
10	Interest Sub				<del></del>	ı —					
	Name of the E			nstitution							
	Total Loan Am	-	sanction								
	Moratorium pe	=1100		Date of D	ichurca		·+ :		Amous	+	
	Details of Dist	oursem	ent	Date of D	ispursei	ner	IL .		Amoun	L	····
	L			l							

			(Add ro	ws as requir	ed)					
			Total							
		Total Capit Repaymen		utstanding Inte		terest paid	Interest due (if any)	Penalty (if any)		
	Amount as of Commercial Operation Date							·		
	Eligible Assistance	under Inte	rest subs	sidy			-			
11	Electricity Duty	lectricity Duty								
	Meter/ Connection	Duration, Perio		Bill Niimher		Proof of		Electricity		
	Identification Number	From	То			Transactio	n Consumed	Duty		
						Tot	al			

## C. Format for Projected Supplementary Assistance Claim Application

1		re incurred		GFCI	/ Estima	ted Capital E	xpenditure	towards
1.1	Registration	and Stamp	Duty					
Sr. No.	Description of the Asset/ Property	Use of the Asset/ Property	Possession Type (Outright Purchase/ Lease)	Total area (sq. m.)	Duration of lease (in years)	Cost/ lease rental (per annum) of Asset/ Property	Registration n Cost	Stamp Duty Cost
1								
2								
(In	case of more	than one pr	operty or as	set or p	ossession t	:ype, add separat	te rows for ea	ich item)
						Sub-tota	nl	
1. 2	Plant and M	achinery			-	, , , , , , , , , , , , , , , , , , , ,	I	
Sr. No.	Name of the Plant & Machinery	Description	Typi (New Indig Second I Indigenous Imported/ Hand Imp	enous/ Hand s/ New Second	Origina Equipme Manufactu (OEM)	nt Year of	Life of Machinery (Lifespan)	Estimated Cost (including taxes, duty, etc. excluding Royalty)
1								
2						1077		
(Add	d separate ro	ows for each	item, as rec	uired)				
		•••					Sub-total	-

1.3	Building												
Sr. No.	Ite	m	I	Description		Unit	Qι	uantity		Unit	rate	(i	mated Cos ncluding (es, duty, etc.)
1	Civil Works Structural	5/											
2	Interiors/F	inishing											
3	Conveyanc	e lifts											
4	HVAC												
5	Fire protec	tion											
6	Electrical												
7	Plumbing												
(Ad	d separate i	rows for ac	ddit	ional items,	as	require	d)						
											Sub-total		
1.4	Technology	/ Upgradat	ion	& Acquisition	n								
Sr. No.	Name of the Technolog Y	Descriptio	on	Type (Upgradation / Acquisition		Countr of origi	у	Availabi in the India (Y No)	e .	Availability in the		(ir tax ei ex	timated Cost ncluding es, duty, tc., but ccluding oyalty)
1													
2													
(Ad	d separate i	rows for ac	ldit	ional items,	as	require	d)						
											Sub-	total	
1. 5	Utilities												
Sr. No		Name of Ut	tiliti	ies	D	escriptio	on	Capaci	ty	Unit	Cost (inc duty, etc. cons profession	, but sultat	excluding ion/
1	Solid Wast	e Managen	nen	t Facility									
2	Gas Con infrastructi	nection ure	an	d related									
3	Substation					, , , , , , , , , , , , , , , , , , , ,							
4	Solar Unit/ Generation		Ca	ptive Power								·	
5	Effluent Tre	eatment Pl	ant										
6	Zero Liquid	l Discharge											
									S	ub-total			

2	Summary of the Expenditure incurred towards GFCI	Sub-Total from Section 2
	Registration and Stamp Duty	
	Plant and Machinery	
	Building	
	Technology Upgradation & Acquisition	
	Utilities	
	Total	

#### Declaration:

- I / We hereby confirm that to the best of our knowledge and belief, information given herein
  and other documents enclosed are true and correct in all respects. We further undertake to
  substantiate the particulars about promoter(s) and other details with documentary evidence
  as and when called for. I/We hereby agree that I/We shall forthwith repay the amount
  released to me/us under scheme, if the amount of Reimbursement of tax are found to be
  disbursed in excess of the amount actually admissible whatsoever the reason.
- 2. I/We hereby declare that we have read the Government Resolutions BTP/022022/446/BT dated 15/02/2022 & BTP/\_\_2021/\_ \_\_/BT dated \_\_/\_\_/2022. I/We undertake to comply all the conditions stipulated therein to avail incentives mentioned in the resolutions.
- 3. I/We hereby declare that the details given above are true & correct, if any of the information is found to be incorrect and not fulfilling the provisions laid down in the above resolutions, the Incentives are liable to be recovered.
- 4. I/We also declare that I am authorized by the enterprise to sign and submit the application and related details with relevant documents.

Place:	
Date:	
Company Seal	Applicant's signature
Name:	
Designation:	

## Annexure 2C - Format for Operational Assistance Claim Application

1.1	Operational Assista approval)	nce Period (as per Committee			From			То			
	Operational Assist Cycle	tance Claim	□ Qua	arterly	ΠН	lalf-year	ly	□ An	inual		
	#	Quarterly	•			Half-ye	early		An	Annual	
	Year 1	□ Q1 □ Q2	□ Q3 □	Q4		□ H1 □ H2 □					
	Year 2	□ Q1 □ Q2	□ Q1 □ Q2 □ Q3 □ Q4			□ H1	□ H1 □ H2 □				
	Year 3	□ Q1 □ Q2	□ Q3 □	Q4		□ H1	□ H1 □ H2				
	Year 4	□ Q1 □ Q2	□ Q3 □	1 Q4		□ H1	□ H2				
	Year 5	□ Q1 □ Q2	□ Q3 □	1 Q4		□ H1	□ H2				
1.2	Details of Entity	Applying for	r Assist	ance							
1.2.1	Name of the Entity	:									
1.2.2	Project Type:										
	□ New □ Mega Pro outside State)□ Re									ocation (From	
1.2.3	Enrolm	nent No.				Da	te of	Issue			
1.2.4	Turnover:										
2	Power Tariff			-	.,						
	Meter/ Connection Identification Number	Duration/ Period From		Total Consu		Bill Amou	- 1	Bill Nu	ımber	Proof of Transaction	
	Datant Assistance			<u> </u>							
<b>3</b>	Name, Country of		rity iccu	uing the		<del> </del>					
3.1	patent	the Author	ity issu	ing the							
3.2	Description										
3.3	Title of the Patent										
3.4	Date of issue/Rene	wal of the Pa	itent								
3.5	Patent Validity Till										
3.6	Fees paid to patent centre	t attorney and	d patent	t service							
3.7	Legal Charges							UP-144			
	(Repeat row from 3	3.1 to 3.7 for	next ea	ch paten	t)						
4	Market Developm				<del></del>						
4.1	Name of the Event										
4.2	Type (National/ Int	ernational)									
4.3	Name of the Organ	izer									
4.4	Date of the Event										

4.5	Amount paid space to the o	or stall							
4.6	Cost incurred	for Product Lit	erature/ Cat	alogue	-				
4.7	Cost incurred	for Display Ma	terial			• ***			, , , , , , , , , , , , , , , , , , , ,
	(Repeat row fr	om 4.1 to 4.7	for next eac	h event	:)				
5	Lease Rental								
	Description o Asset/ Prope		the Asset/ operty		al area ¡. m.)	le	tion of ase /ears)	annı	se rental (per um) of Asset/ Property
	1			l		Sı	ub Total		
	(In case of mo	re than one n	onerty or as	set ad	d senarat	<del></del>			
6	Bandwidth Le	· · · · · · · · · · · · · · · · · · ·	operty or us		и эсрагае				
6.1	Billing Period				From:			To:	
6.2	Bill Amount								
7	Quality Certif	fications (No	n-Statutory	/ Appro	vals)				· · · · · · · · · · · · · · · · · · ·
7.1	Certificate issu						. =		
7.2	Type of Certific	cation (Nationa	al/ Internation	onal)					
7.3	Date of issue of	of Certificate		•					, , , , , , , , , , , , , , , , , , , ,
7.4	Validity	•							
7.5	Certification Fe	ees							
	(In case of mo	re than one qu	uality certific	ations a	add separ	ate row	s for ea	ch item)	)
8	Employment	Generation							,
	Employee Name	Gender (M/F	Employe numl		Date of .	Joining	_	ΓC nnum)	Eligible Incentive under EGI
(Add s	separate rows for	or each employ	/ee, as requ	ired)					
						Total			
9	Employee Pro	ovident Fund	Т.						· · · · · · · · · · · · · · · · · · ·
	Employee Name	Gender (M/F)	er (M/F) UAN Durati number Mont			EPF paid by the Employer (Entity)		Amount Eligible EPF incentive	
(Add s	separate rows fo	or each employ	/ee, as requ						
				To	tal				
10	Interest Subs		· · · · · · · · · · · · · · · · · · ·						
	Name of the B	ank/ Financial	Institution						

	Total Loan Amount	Sanction							
	Moratorium period								
			Date o	f Disbursen	nen	it	Am	ount	
	Details of Disburse	ment							
	Details of Disburse	inent	(Add ro	ws as requir	ed)				
			Total						
		Total Capit Repayme		utstanding Debt	In	terest paid	Ir	nterest due (if any)	Penalty (If any)
	Amount as of Commercial Operation Date								
	Eligible Assistance	under Inter	rest subs	sidy					
11	<b>Electricity Duty</b>								
	Meter/ Connection Identification	Duration Peri		Bill Numb	er	Proof o		Total Unit	Electricity
	Number	From	То			Transacti	on	Consumed	Duty
							<del></del>		
						 To	otal		
						. 10	otal		

#### **Declaration:**

I/We hereby declare that I/ We have read the Government Resolutions BTP/022022/446/BT dated 15/02/2022 & BTP/ $_2021/_$  \_ \_/BT dated \_ \_/\_\_/2022 as amended from time to time before submission of this application for incentive. I/ We undertake to comply all the conditions stipulated therein to avail incentives mentioned in the resolution.

I/We hereby declare that the details given above are true and correct, if any of the information is found to be incorrect and not fulfilling the provisions laid down in the Government Resolution, incentives are liable to be cancelled.

ncentives are liable to be cancelled.		
Place:		
Date:		
	Company Seal	Applicant's signature
		Name:
		Designation:

# Annexure 2D – Undertaking and Checklist for documents to be submitted as certified true copy as part of Application for availing assistance under BT Policy 2022-27

## A. Format for submitting Letter of Undertaking for availing assistance under BT Policy 2022-27

To				
Missio	n Direct	or,		
Gujara	at State	Biotechnology Mission		
Depar	tment of	Science & Technology		
Gover	nment o	f Gujarat		
S	ub:	Application for availing assist	ance under Biotechnology Po	olicy 2022-27
R	ef:	Government Resolution No: E _/BT dated//2022	3TP/022022/446/BT dated 1	5/02/2022 & BTP/2021/
Sir/ M	a'am,			
1.	I, Mr.	/ Ms with re		
	provid	ng this undertaking cum decla		
2.	We ha	ve read and undertake to co	emply with all the conditions	s stipulated therein to avai
	incenti	ves, the Government Res	solutions BTP/022022/446/	BT dated 15/02/2022 8
	BTP/	_2021//BT dated <b>_</b> _//	2022 & relevant amendmen	ts till date.
3.	We int	end 'to apply for/ have applied	I for/ have availed' incentives	s/ funding as indicated in the
	table b	elow, if applicable.		
	Sn. N	Name of the Institution/ Agency	Details of Assistance/ Funding	Status (Intend to/ Applied/ Availed)
	1.			
	2.			

(Add rows if required & attach supporting documents summarizing key aspects of the same)

- 4. We undertake not to avail any incentive/ funding since the submission of Registration till the completion of operational assistance period which violates the Biotechnology Policy 2022 27.
- 5. To the best of our knowledge, we do not have any outstanding dues/ taxes/ cess/ charges/ fees/ interest/ penalty with Government, Board and Corporation.
- 6. The information provided during Registration are true and valid, we will disclose through intimate writing within 15 days, if there is any correction or changes in Section 1 and section 2 of Annexure 1B.

## Implementation Guidelines

7. We undertake to repay with interest, the incentives disbursed, if found that it was obtained by misrepresentation of facts or in case of misuse.

8. We hereby declare that the Commercial Operations Date for the project is \_\_\_\_\_\_(dd/mm/yyyy)

9. We hereby confirm that the contents mentioned above are true to the best of my/ our knowledge. We are jointly and severally responsible for any information found incorrect or misrepresented subsequently and liable for prosecution under the provisions of applicable Acts/ Codes and Rules.

D	ı	_	Δ	٠
г	ı	·	c	•

Date:

Company Seal

Applicant's signature

Name:

Designation:

Note: Undertaking to be submitted on Rs. 300/- Stamp paper

**B.** Checklist for Application Module

No	Particulars				
1.	Copy of applicable industrial registration certificate (EM-II Registration / IEM Part - B, / Industrial License, etc.)				
2.	Acknowledgement Certificate under Gujarat Micro, small and Medium Enterprises (Facilitation of Establishment and Operation) Act, 2019 in case of MSME (if obtained)				
3.	GST Registration certificate				
4.	PAN				
5.	TAN				
6.	TIN certificate				
7.	EPFO Universal Account Number (UAN)				
8.	Copy of Bank Account Passbook/ cancel cheque				
9.	Detailed project report				
10.	Company profile, brochure, marketing/ promotional material				
11.	. Copy of First Sale Bill/ Invoice or agreement between Entity & client/ customer/ business				
12.	Document for Installed Capacity, including proof for additional capacity in case of expansion				
13.	Attendance Register of Employee, letter of employment, salary slip, domicile certificate of employee				
14.	Project Completion Certificate from Financial Institute or Project Completion Certificate from authorized person, in case of self-finance. (In prescribed format)				
15.	Copy of agreement for technical knowhow, Design, Drawings and Patent (if applicable)				
16.	Address proof document for Manufacturing/ Factory/ Production/ Office/ Research/ Marketing/ Retail location				
17.	Supporting document (Board Resolution, POA, etc.) for Designated/ Authorized Representative				
18.	ID proofs: Driving License, Aadhar Card, PAN card, Passport as indicated				
19.	Letter of Undertaking for availing assistance under BT Policy 2022-27				

## Capital Claim Module

No	Particulars
1.	Document proof for ownership/ lease of land/ asset Registered agreement executed for the purchase/ lease of the property(ies) or any relevant document which for proof of ownership of property list
2.	Bill/ payment receipt of Plant and Machinery
3.	Certificate of Chartered Engineer for all Engineering Works up to date of Commercial Operation Date
4.	Receipt/ Bill for Patented Technology acquired

## Operational Claim Module

No	Particulars
1.	Application form and undertaking for Operational assistance duly filled, stamped, and signed by Designated/ authorized signatory.

Electricity Bill, Payment Receipt/ Transaction Copy for the amount claim
Proof of payments/ payment receipts toward purchase of patent,
Proof of payments of charges paid for the amount claimed from legal services/ patent attorney/ patent service centre
Invoice and payment receipt for the rent/ charges paid for stall space to the organizer
Invoice and payment receipt for product literature/ Catalogue and display material
Registered lease agreement/ deed, proof of transitions/ payment receipts with copy of the PAN card of the lessor
Bandwidth invoice and payment receipt with valid GSTIN number
Invoice and payment receipt of the Certificates from the certification agency
Attendance Register of Employee, letter of employment, salary slip, domicile certificate of employee
Sanction Letters/ Letter of Intent of Term Loan from Bank / Financial Institution if any
Appraisal Report from Bank/ Financial Institutes (If applicable) (mandatory if term loan is availed)

## Mandatory Periodic Submissions for quarterly instalments of Capital Claim

No	Particulars
1.	Annual Reports/ Progress Reports
2.	Audited/ Un-audited/ Provisional Balance Sheets
3.	Any other documents pertaining to the compliances as demanded by competent authority from time to time

## Supplementary Claim Module

No	Particulars								
1.	ocument proof for ownership/ lease of land/ asset legistered agreement executed for the purchase/ lease of the property(ies) or any relevant ocument which for proof of ownership of property list								
2.	Bill and payment proof for the claimed components								
3.	Certificate of Chartered Engineer for all Engineering Works up to date of Commercial Operation Date								

All Submitted documents along with the application form should be duly certified by authorized signatory of applicant / director/ Power of Attorney holder.

6

7

8

Utilities

Total eGFCI (Application – Capital Expenditure)

Maximum eligible Capital Assistance

Annex	cure 3 - Format for App	roval of Assistance		
			Outwa	rd Ref:
			Date:	
To,				
M/s		(Name of the Entity)		
	(En	tity's registered addres	ss)	
Sub: A	approval for Assistance			
Ref:	(1)(E	ntity's Enrolment Num	her)	
	(2)(0	- '		
	(3)	(Committee meeting	minutes) (only to be	added here if these
	to convey to you the gr	• •		
	ur Actual Commercial Ope			
Capital	Assistance sanctioned for	disbursement is INR _	(Rupee	s only) and
details	& disbursement schedule	for the same are prov	ided hereunder.	
Table 1	l: Final eGFCI & maximur	n eligible Capital Assist	tance under Application	
Sr. No.	Expenditure Item	Amount as per Application	Remarks/ Reasons	Amount accepted by the Nodal Agency
1	Registration and Stamp Duty			
2	Plant and Machinery			
3	Building Cost			
4	Site Development			
5	Technology Upgradation & Acquisition			

Table 2: Indicative eGFCI & maximum eligible Capital Assistance towards Supplementary Capital Claim Application

Sr. No.	Expenditure Item	Amount as per Application	Remarks/ Reasons	Amount accepted by the Nodal Agency				
1	Registration and Stamp Duty							
2	Plant and Machinery							
3	Building Cost							
4	Site Development							
5	Technology Upgradation & Acquisition							
6	Utilities							
7	Total eGFCI (Supplem Expenditure)							
8	Maximum eligible under							

Table 3: Estimated/ Projected Operational Expenditure & eligible Operational Assistance for 5 years

Sr. No.	Expenditure Item	Estimated/ Projected Cost	Eligible Operational Assistance (Indicative)	Disbursement Schedule	Ren	narks
1	Power Tariff					
2	Patent Assistance					
3	Market Development					
4	Lease Rental					
5	Bandwidth leasing					
6	Quality Certification					
7	Employee Provident Fund					
8	Interest Subsidy on Term Loan					
9	Electricity Duty					

## Disbursement Schedule

Sr. No.	Assistance Type	Disbursement Schedule	Remarks
1		Capital Assistance shall be disbursed ininstalments with each instalment amounting to INR/- (Rupees Only).  First instalment shall be disbursed before end of Financial Quarter (month-month) of (Year).  Second instalment shall be disbursed before end of Financial Quarter (month-month) of (Year).  Third instalment shall be disbursed before end of Financial Quarter (month-month) of (Year).	
		Fourth instalment shall be disbursed before end of Financial Quarter (month-month) of (Year).  Quarterly instalments shall be disbursed subject to fulfilment of applicable terms and conditions including approval of the annual reports in accordance with Clause 8.4.3	
2	Capital Assistance under Supplementary Capital Claim	Expenditure incurred from (ACOD) to shall be considered eligible expenditure under Supplementary Capital Claim	
3	Operational Assistance	Operational Assistance Claim Period shall be from	

1	

2.

3.

(Name) Mission Director Gujarat State Biotechnology Mission

Accepted by

<Name of Applicant>

Authorized Signatory

## Annexure 4- Format for Final Supplementary Capital Assistance Claim Application

1	Details of Entity Applying for Assistance				
1.1	Name of the Entity:				
1.2	Project Type:				
	□ New □ Mega Project □ Ecosystem Strengthening Project □ Special Project □ Relocation (From outside State) □ Relocation (From outside Country) □ Diversification □ Expansion				

2	Expenditure Supplementa			s GFCI	/ Estimat	ed	Capital	Expen	ditur	e towards
2.1	Registration and Stamp Duty									
Sr. No.	Description of the Asset/ Property	the Asset/	Possessio n Type (Outright Purchase/ Lease)	Total area (sq. m.)	Duration of lease (in years)	r a	Cost/ lease ental (per annum) of Asset/ Property	Regis n C		Stamp Duty Cost
1										
2										
(In c	ase of more th	an one pro	operty or a	sset or p	ossession ty	/pe	, add separ	ate row	s for	each item)
							Sub-tota	ı		
2.2	Plant and Mac	hinery								
Sr. No.	Name of the Plant & Machinery	Descripti n	Ty (Ne Indige Second Indigeno Impo Second Impo	ew nous/ I Hand us/ New rted/ I Hand	Original Equipmen Manufactui (OEM)		Year of Manufactur ng	Life Machii (Lifesp	nery	Estimated Cost (including taxes, duty, etc., excluding Royalty)
1										
2										
(Add	separate rows	for each i	tem, as re	quired)						
								Sub-	total	
2.3	Building				, "					
Sr. No.	Item		Description	Unit	Quantity	/	Unit ra	Estimated Co (including tax duty, etc.)		uding taxes,
1	Civil Works/ Structural									
2	Interiors/Finishing									-
3	Conveyance li	fts								
4	HVAC									
5	Fire protection	n				T				
6	Electrical									3

7	Plumbing							
(Add	Add separate rows for additional items, as required)							
		Sub-total						
2.5	Technology (	Technology Upgradation & Acquisition						
Sr. No.	Name of the Technology	Description	Type (Upgradat on/ Acquisition	of origin	Availability in the India (Yes/ No)	the	lability in Gujarat es/ No)	Estimated Cost (including taxes, duty, etc., but excluding Royalty)
1								
2								
(Add	separate row	s for additio	nal items,	as required)				
		Sub-total					total	
2.6	Utilities							
Sr. No.	Name of Utilities			Description	Capacity	Unit	duty, etc consultat	ncluding taxes, c., but excluding cion/ professional charges)
1	Solid Waste Management Facility							
2	Gas Connection and related infrastructure							
3	Substation							
4	Solar Unit/ Power Gener		Captive					
5	Effluent Treatment Plant							
6	Zero Liquid [	Discharge						
		Sub-total						

3	Summary of the Expenditure incurred towards GFCI	Sub-Total from Section 2
	Registration and Stamp Duty	
	Plant and Machinery	
	Building	
	Technology Upgradation & Acquisition	
	Utilities	
	Total	

#### **Declaration:**

1. I / We hereby confirm that to the best of our knowledge and belief, information given herein and other documents enclosed are true and correct in all respects. We further undertake to substantiate the particulars about promoter(s) and other details with documentary evidence

- as and when called for. I/We hereby agree that I/We shall forthwith repay the amount released to me/us under scheme, if the amount of Reimbursement of tax are found to be disbursed in excess of the amount actually admissible whatsoever the reason.
- 2. I/We hereby declare that we have read the Government Resolutions BTP/022022/446/BT dated 15/02/2022 & BTP/\_\_2021/\_ \_\_/BT dated \_\_/\_\_/2022. I/We undertake to comply all the conditions stipulated therein to avail incentives mentioned in the resolutions.
- 3. I/We hereby declare that the details given above are true & correct, if any of the information is found to be incorrect and not fulfilling the provisions laid down in the above resolutions, the Incentives are liable to be recovered.
- 4. I/We also declare that I am authorized by the enterprise to sign and submit the application and related details with relevant documents.

Place:		
Date:		
	Company Seal	Applicant's signature
		Name:
		Designation:

# Annexure 5: Details of Eligible and Ineligible components Eligible Component under Capital Expenditure Assistance

Sr. No.	Capital Expenditure Item	Eligible Components	Ineligible Components
1	Plant and Machinery	As per Clause 3.9  Servers where the requirement for the same is based on core business/ service offerings  Transportation and installation charges paid to the Vendor/ Original Equipment  Manufacturer (OEM) as part of procurement	Not part of manufacturing process/ service
2	Buildings	Certified by Architects/ Engineers towards Construction cost	Moveable assets such as furniture, non-essential interior works, etc.  Technical Consultancy Charges
3	Registration & Stamp Duty	100% cost incurred for Registration & Stamp Duty towards purchase/ lease of property as part of the proposed project Payment towards land use conversion from agriculture to non-agriculture	Premium charges towards land use conversion  Note: Premium charges paid for conversion of land use from agriculture use to nonagriculture use shall mean the additional charges paid as per Jantri for specific land parcel indicated as 'Premium charges'
4	Technology Upgradation & Acquisition	Patented Technology acquired from Indian, Foreign and Multinational Companies/ Institutes/ Organizations, subject to max. ceiling of 10% of eGFCI.	Royalty Payment(s)  Development of in-house Biotechnology Solutions for product and process improvement.  Digital interventions including ERP, HRMS, PMS, etc.
5	Utilities	Sewage/ Effluent Treatment Plant Zero Liquid Discharge plant Capital or one-time investment towards development of green utilities such as Captive Solar Power Plant Infrastructure for Network and Internet Services Other on-site utilities (only integral to core functioning of components)	Trunk Infrastructure/ utilities (last mile connectivity)

## **Eligible Component under Operational and Other Expenditure Assistance**

Sr. No.	Operational Expenditure Item	Eligible Components	Components not eligible	
1A	Operational Assistance - Power Tariff	Power purchased from State Electricity distribution companies or power distribution licensees  Note: Expansion/ diversification applicants must procure/ install separate connection and meter. The bills must be produced/ submitted only pertaining to the expansion and diversification approved through the	Power consumed from captive power plant	
1B	Operational Assistance - Patent Assistance	Expenditure incurred for obtaining National/ International Patents  Incentive shall be provided at the rate of 50%, subject to a ceiling of INR 2 lakhs per patent for domestic patents which shall be limited to INR 10 lakhs and INR 5 lakhs per patent for International Patents which shall be limited to INR 25 lakhs.	Non- essential Patent(s) for operations of the proposed project	
1C	Operational Assistance - Market Development	Towards participation in National or International Exhibitions/ Trade fairs:  • Rent of stall or rent of space and amount paid to organizer towards creation of stall and on rented space • Display material Incentive shall be up to INR 50,000/- per event for maximum 2 times (events) during the operational assistance period	Events participated before or after defined operational assistance period Cost of Travel, Lodging, Boarding, etc.	
1D	Operational Assistance - Lease Rental	Cost as per registered lease agreement/ deed, applicable & valid for the period for which operational assistance is sought Proof of transactions/ payment receipts must be submitted along with copy of the PAN card of the lessor	Cost towards lease on non- essential spaces  Lease paid before or after defined operational assistance period	

Sr. No.	Operational Expenditure Item	Eligible Components	Components not eligible
1E	Operational Assistance - Bandwidth Leasing	Expenditure incurred on buying or leasing bandwidth from a recognized Internet Service Provider, registered in India, with a valid GST number	Cost for installation, One-time or recurring
1F Operational Assistance - Assistance to Quality Certifications		Cost for certificate shall include, Fees charged by certification agency Renewal of certificate AMC for certifications, calibrations	Travel, hotel & site visit charges Purchase of testing equipment/ machinery  More than one year from the date of issue of the certificate
2	Employment Generation Incentive	only for permanent/ contractual employee who have successfully completed term of 1 year	Specific employee for whom his earlier company has already claimed this incentive
3	EPF Assistance	Amount paid by the beneficiary/ Entity directly to the Employees' Provident Fund Organization (EPFO) including EPF paid for contractual & outsourced employees Only applicable for employees working full-time & operating within the State (not beyond	
		prescribed statutory limit)	
4	Interest Subsidy on Term Loan	Term Loan procured towards expenditure accounted under GFCI Term Loan shall not exceed approved GFCI Term loan account must be an exclusive account maintained by the financing institution/beneficiary	Penalties of any kind imposed by the financing institution  If enterprise is availing interest subsidy under Scheme of Central Government, then total quantum of interest subsidy from State and Central, in any case shall not exceed 90% of the total interest paid to Bank/Financial institution
5	Electricity Duty	Refer Operational Assistance - Power Tariff above	Refer Operational Assistance - Power Tariff above